us sign (+) inside this box ---> [+]

04cd 05201

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TOANOMITTAL	ŧ	Application Number	09/844,040	
TRANSMITTAL		Filing Date	April 27, 2001	
FORM		First Named Inventor	Brian S. Kim et al.	
(to be used for all correspondence after initial filing)		Group Art Unit	Unknown	
		Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	089984-0276267	
ENCLOSURES (check all that apply)				
Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group	
Fee Attached	☐ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences	
Amendment	Licensi	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final (Response)		n Routing Slip (PTO/SB/69) companying Petition	Proprietary Information	
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter	
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):	
Express Abandonment Request		al Disclaimer st for Refund	Return Receipt Postcard Certificate of Mailing	
Information Disclosure Statement w/	CD, Nu	imber of CD(s)		
Certified Copy of Priority Document(s) The Remains		Please charge any deficiencies in fees or overpayment to Deposit Account No. 03-3975 (a copy of this transmittal is enclosed for this purpose).		
Response to Missing Parts/ Incomplete Application			,	
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Mark C. Pickering, Reg. No. 36,239				
Signature MML Pull L				
Date June 7, 2001				
CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope				
addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:  June 7, 2001				
Typed or printed name Robin L. King				
Signature	X \	Kine	Date June 7, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	) Group Art Unit: Unknown
Brian S. Kim et al.	) Examiner: Unknown
Application No. 09/844,040 Filed: April 27, 2001	) INFORMATION DISCLOSURE ) <u>STATEMENT</u> )
For: APPARATUS AND METHOD THE CATEGORIZE A COLLECTION DOCUMENTS INTO A HIERARCO OF CATEGORIES THAT ARE DEFINED BY THE COLLECTION OF DOCUMENTS	OF ) Customer No.: 27271 CHY)
	I hereby certify that this correspondence is being deposited with the
Commissioner for Patents Washington, D.C. 20231	United States Postal Service, postage prepaid, in an envelope addressed to Box Commissioner for Patents Washington D.C. 20231-9999 on Washington Dated: 06-07-01  By: Alice States Postal Service, postage prepaid, in an envelope addressed to Box Commissioner for Patents Washington D.C. 20231-9999 on Washington D.C. 20231-9999 on Washington Dated: 06-07-01
~.	· ( )

Sir:

Applicants submit herewith information of which they are aware, which they believe may be material to the examination of this application and in respect of, which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) [] accompanies the new patent application transmittal submitted herewith. 37 \_ CFR § 1.97(a).
- (b) [X] is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) [] as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.

- (d) [] is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) [] is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) [] Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) [] No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A concise explanation of relevance of the described above and attached information

- (k) [X] not given
- (l) [] given for each listed item
- (m) [] given for only non-English language listed item(s) [Required]
- (n) [] is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

While the information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that the information referred to is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other

is:

V

material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the above-described and attached information.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: G - 7 - 01

Mark C. Pickering Reg. No. 36,239

Attorney for Assignee

Atty. Docket No: 089984-0276267